

# Quantum Dot Solar Cells with Multiple Exciton Generation

M.C. Hanna, M.C. Beard, J.C. Johnson, J. Murphy,  
R.J. Ellingson, and A.J. Nozik

*Presented at the 2005 DOE Solar Energy Technologies  
Program Review Meeting  
November 7–10, 2005  
Denver, Colorado*

**Conference Paper**  
**NREL/CP-590-38992**  
**November 2005**

NREL is operated by Midwest Research Institute • Battelle Contract No. DE-AC36-99-GO10337



## NOTICE

The submitted manuscript has been offered by an employee of the Midwest Research Institute (MRI), a contractor of the US Government under Contract No. DE-AC36-99GO10337. Accordingly, the US Government and MRI retain a nonexclusive royalty-free license to publish or reproduce the published form of this contribution, or allow others to do so, for US Government purposes.

This report was prepared as an account of work sponsored by an agency of the United States government. Neither the United States government nor any agency thereof, nor any of their employees, makes any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States government or any agency thereof. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States government or any agency thereof.

Available electronically at <http://www.osti.gov/bridge>

Available for a processing fee to U.S. Department of Energy  
and its contractors, in paper, from:

U.S. Department of Energy  
Office of Scientific and Technical Information  
P.O. Box 62  
Oak Ridge, TN 37831-0062  
phone: 865.576.8401  
fax: 865.576.5728  
email: <mailto:reports@adonis.osti.gov>

Available for sale to the public, in paper, from:

U.S. Department of Commerce  
National Technical Information Service  
5285 Port Royal Road  
Springfield, VA 22161  
phone: 800.553.6847  
fax: 703.605.6900  
email: [orders@ntis.fedworld.gov](mailto:orders@ntis.fedworld.gov)  
online ordering: <http://www.ntis.gov/ordering.htm>



Printed on paper containing at least 50% wastepaper, including 20% postconsumer waste

# Quantum Dot Solar Cells with Multiple Exciton Generation

M.C. Hanna, M.C. Beard, J.C. Johnson, J. Murphy, R.J. Ellingson, and A.J. Nozik  
National Renewable Energy Laboratory, Golden, Colorado, [mark\\_hanna@nrel.gov](mailto:mark_hanna@nrel.gov)

## ABSTRACT

We have measured the quantum yield of the multiple exciton generation (MEG) process in quantum dots (QDs) of the lead-salt semiconductor family (PbSe, PbTe, and PbS) using fs pump-probe transient absorption measurements. Very high quantum yields (up to 300%) for charge carrier generation from MEG have been measured in all of the Pb-VI QDs. We have calculated the potential maximum performance of various MEG QD solar cells in the detailed balance limit. We examined a two-cell tandem PV device with singlet fission (SF), QD, and normal dye (N) absorbers in the nine possible series-connected combinations to compare the tandem combinations and identify the combinations with the highest theoretical efficiency. We also calculated the maximum efficiency of an idealized single-gap MEG QD solar cell with  $M$  multiplications and its performance under solar concentration.

### 1. Objectives

The objective of this continuing project is to explore the possibility of greatly enhanced conversion efficiency in third-generation PV cells based on charge multiplication in the process of multiple exciton generation (MEG) in low-bandgap semiconductor quantum dots (QDs).

### 2. Technical Approach

We use fs pump-probe transient absorption dynamics to study carrier relaxation and MEG processes in QDs. For efficiency calculations, we use a detailed-balance model in which we assume complete light absorption for photon energy greater than the absorption threshold, charge multiplication occurs by MEG or singlet fission (SF), all photogenerated carriers are collected, and the only loss mechanism is due to spontaneous radiative recombination.

### 3. Results and Accomplishments

Extending our previous measurements of high MEG quantum yield in PbSe QDs, we found similar MEG quantum efficiencies in PbS and PbTe QDs. The quantum yield reached 300% at  $4 \times E_g$ , where  $E_g$  is the bandgap of the QD.

We have generalized our model for calculating the maximum efficiency of an idealized MEG QD solar cell to include  $M$  multiplications and the expected performance under solar concentration. For a given bandgap,  $E_g$ , the maximum number of excitons,  $M_{\text{max}}$ , that can be produced per photon by MEG is

dictated by energy conservation considerations. The value of  $M_{\text{max}}$  is given by  $M_{\text{max}} = E_{\text{max}}/E_g$ , where  $E_{\text{max}} \sim 4.4$  eV is the highest photon energy in the AM1.5 solar spectrum. As an example, for  $E_g = 0.75$  eV,  $M_{\text{max}} = 5$ , as shown in Fig. 1.

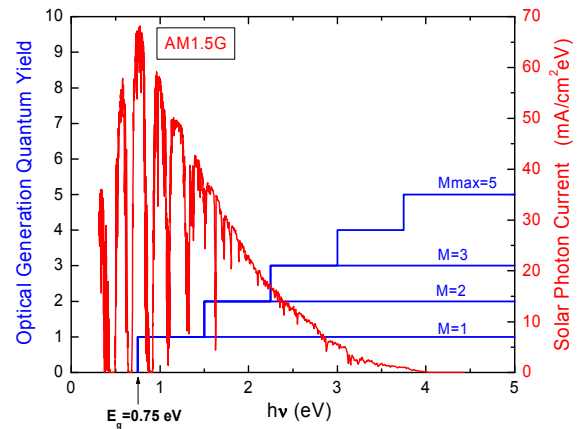


Fig. 1. MEG quantum yield models for  $E_g = 0.75$  eV overlapping the AM1.5G spectrum. The maximum possible multiplication for this bandgap is  $M_{\text{max}} = 5$ .

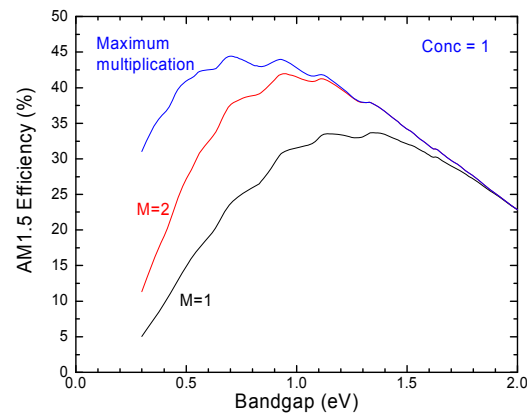


Fig. 2. Maximum efficiency at one sun for a MEG QD solar cell versus bandgap for multiplications of  $M = 1$ ,  $M = 2$ , and  $M_{\text{max}}$ .

The calculated efficiency versus bandgap for a MEG cell with  $M = 1$ ,  $M = 2$ , and  $M_{\text{max}}$  under one sun concentration is shown in Fig. 2. Allowing the maximum possible multiplication in a MEG cell raises the ultimate efficiency only a small amount compared to  $M = 2$  (from 42.0% at  $E_g = 0.95$  eV to 44.4% at  $E_g = 0.7$  eV). In general, cells with lower bandgap benefit more from allowing maximum multiplication. Figure 3

shows how the maximum efficiency of a MEG cell with maximum multiplication changes with concentration. The maximum possible efficiency at maximum multiplication is 84.9% at a bandgap of 0.05 eV.

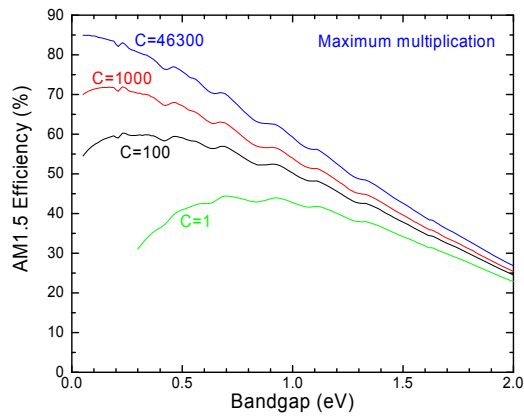


Fig. 3. Maximum efficiency versus bandgap of a MEG QD cell with maximum allowable exciton multiplication for different solar concentrations.

We have calculated the upper limits on the maximum efficiency of a two-gap tandem PV device with various combinations of a MEG QD absorber, a normal dye absorber (N), or an SF absorber as the top and bottom cells to identify the combinations with the highest theoretical efficiency. The efficiencies were calculated in the detailed-balance limit with the top and bottom cells electrically and optically in series.

The N-absorber generates one electron-hole (e-h) pair per photon absorbed above the bandgap. The QD-absorber generates two e-h pairs for photons with energy greater than twice the bandgap and one e-h pair for photons with energy between one and two times the bandgap. An ideal SF absorber has a triplet state ( $E_T = E_1$ ) and a singlet state ( $E_S = 2 \times E_1$ ). The SF-absorber absorbs all photons with energy above the singlet state at twice the triplet state energy ( $E_T = \text{bandgap}$ ) and generates two electrons per absorbed photon for photons in this energy range.

The maximum overall efficiency, along with the optimum bandgaps of the top and bottom cells for each of the nine possible combinations, is summarized in Table 1. The best absorber combinations, (SF,QD), (QD,N), (QD,QD), and (QD,SF), have a QD-absorber as the top cell or the bottom cell. These cells have a potential efficiency of greater than 47% for one sun illumination, which exceeds the value of 45.7% for the usual (N,N) tandem.

Table 1. Maximum AM1.5G theoretical efficiency for SF, QD, and N tandem cell combinations at the optimum top and bottom cell bandgaps.

Top/Bottom Absorber	Top Cell Bandgap (eV)	Bottom Cell Bandgap (eV)	Maximum Efficiency (%)
(N,N)	1.63	0.95	45.7
(N,QD)	1.61	0.95	45.7
(N,SF)	1.39	0.48	43.8
(QD,N)	1.63	0.95	47.1
(QD,QD)	1.46	0.68	47.6
(QD,SF)	1.40	0.48	47.3
(SF,N)	0.95	0.95	45.4
(SF,QD)	0.84	0.70	47.7
(SF,SF)	0.82	0.48	45.7

#### 4. Conclusions

We have measured very high MEG quantum yields (up to 300%) for charge carrier generation in Pb-VI QDs (VI = S, Se, Te). We have calculated the maximum attainable efficiencies of both single-gap and two-gap tandem cells that incorporate MEG QD absorbers. Future work will focus on studying the MEG process in other QD materials, producing information on charge transfer and optical properties of QD/TiO<sub>2</sub> composites, and modeling the electrical behavior and potential performance of MEG QD solar cells.

#### ACKNOWLEDGEMENTS

M.C. Hanna was supported by the NREL NCPV Solar Program. M.C. Beard, J.C. Johnson, R.J. Ellingson, J. Murphy, and A.J. Nozik were supported by the DOE Office of Science, Basic Energy Sciences, Division of Chemical Sciences. NREL work is performed under DOE contract DE-AC36-99-GO10337.

#### MAJOR FY 2005 PUBLICATIONS

R.J. Ellingson, M.C. Beard, J.C. Johnson, P. Yu, O.I. Micic, A.J. Nozik, A. Shabaev, and A.L. Efros, "Highly Efficient Multiple Exciton Generation in Colloidal PbSe and PbS Quantum Dots," *Nano Letters* **5**, 865 (2005).

# REPORT DOCUMENTATION PAGE

Form Approved  
OMB No. 0704-0188

The public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to Department of Defense, Executive Services and Communications Directorate (0704-0188). Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.

**PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ORGANIZATION.**

1. REPORT DATE (DD-MM-YYYY) November 2005			2. REPORT TYPE Conference Paper		3. DATES COVERED (From - To)	
4. TITLE AND SUBTITLE Quantum Dot Solar Cells with Multiple Exciton Generation				5a. CONTRACT NUMBER DE-AC36-99-GO10337		
				5b. GRANT NUMBER		
				5c. PROGRAM ELEMENT NUMBER		
6. AUTHOR(S) M.C. Hanna, M.C. Beard, J.C. Johnson, J. Murphy, R.J. Ellingson, and A.J. Nozik				5d. PROJECT NUMBER NREL/CP-590-38992		
				5e. TASK NUMBER PVA6.0605		
				5f. WORK UNIT NUMBER		
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) National Renewable Energy Laboratory 1617 Cole Blvd. Golden, CO 80401-3393				8. PERFORMING ORGANIZATION REPORT NUMBER NREL/CP-590-38992		
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)				10. SPONSOR/MONITOR'S ACRONYM(S) NREL		
				11. SPONSORING/MONITORING AGENCY REPORT NUMBER		
12. DISTRIBUTION AVAILABILITY STATEMENT National Technical Information Service U.S. Department of Commerce 5285 Port Royal Road Springfield, VA 22161						
13. SUPPLEMENTARY NOTES						
14. ABSTRACT (Maximum 200 Words) We have measured the quantum yield of the multiple exciton generation (MEG) process in quantum dots (QDs) of the lead-salt semiconductor family (PbSe, PbTe, and PbS) using fs pump-probe transient absorption measurements. Very high quantum yields (up to 300%) for charge carrier generation from MEG have been measured in all of the Pb-VI QDs. We have calculated the potential maximum performance of various MEG QD solar cells in the detailed balance limit. We examined a two-cell tandem PV device with singlet fission (SF), QD, and normal dye (N) absorbers in the nine possible series-connected combinations to compare the tandem combinations and identify the combinations with the highest theoretical efficiency. We also calculated the maximum efficiency of an idealized single-gap MEG QD solar cell with M multiplications and its performance under solar concentration.						
15. SUBJECT TERMS Photovoltaics; solar; quantum dot solar cells; PV; NREL						
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT UL	18. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON	
a. REPORT Unclassified	b. ABSTRACT Unclassified	c. THIS PAGE Unclassified			19b. TELEPHONE NUMBER (Include area code)	